

Export LC Transfer Amendment - Beneficiary Consent User  
Guide

**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Export LC Transfer Amendment - Beneficiary Consent User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

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# Contents

<b>1. Preface .....</b>	<b>1-1</b>
1.1 Introduction.....	1-1
1.2 Audience.....	1-1
1.3 Documentation Accessibility.....	1-1
1.4 Organization .....	1-1
1.5 Related Documents .....	1-1
1.6 Diversity and Inclusion.....	1-1
1.7 Conventions.....	1-2
1.8 Screenshot Disclaimer.....	1-2
1.9 Glossary of Icons.....	1-2
<b>2. Oracle Banking Trade Finance Process Management .....</b>	<b>2-1</b>
2.1 Overview.....	2-1
2.2 Benefits.....	2-1
2.3 Key Features .....	2-1
<b>3. Export LC Transfer Amendment Beneficiary Consent .....</b>	<b>3-1</b>
3.1 Common Initiation Stage .....	3-1
3.2 Registration .....	3-2
3.2.1 <i>Application Details</i> .....	3-4
3.2.2 <i>Beneficiary Response Capture</i> .....	3-5
3.2.3 <i>Miscellaneous</i> .....	3-6
3.2.4 <i>Document Linkage</i> .....	3-8
3.3 Data Enrichment.....	3-11
3.3.1 <i>Main Details</i> .....	3-14
3.3.2 <i>Additional Fields</i> .....	3-18
3.3.3 <i>Advices</i> .....	3-21
3.3.4 <i>Additional Details</i> .....	3-24
3.3.5 <i>Settlement Details</i> .....	3-33
3.3.6 <i>Summary</i> .....	3-38
3.4 Approval .....	3-40

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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Transfer Amendment Beneficiary Consent process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Settlements User Manual
- Core Services User Manual
- Procedures User Manual
- Common Core - Automated End of Day User Manual

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners,

we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:





Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## 3. Export LC Transfer Amendment Beneficiary Consent

Transfer LC Amendment may require second beneficiary's consent for the amendment terms.

Following are some of the scenarios where second beneficiary's consent may be required:

- Amendment of the Expiry Date
- Amendment of the Amount
- Amendment of the Latest Shipment date
- Amendment of the Goods Description
- Amendment of the Shipment Details
- Amendment of the Documents Required
- Amendment of the Additional Conditions

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

[3.3 Data Enrichment](#)

[3.4 Approval](#)

### 3.1 Common Initiation Stage

The user can initiate the new export LC transfer amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.

Field	Description
Branch	Select the branch.

### 3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

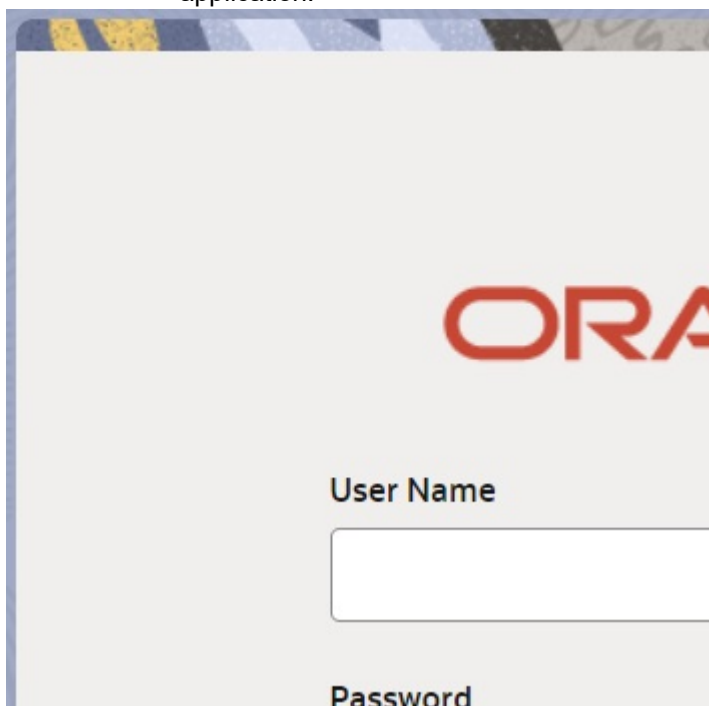
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## 3.2 Registration

During the Registration stage, the user can register a request for an Export LC Transfer Amendment Beneficiary Consent.

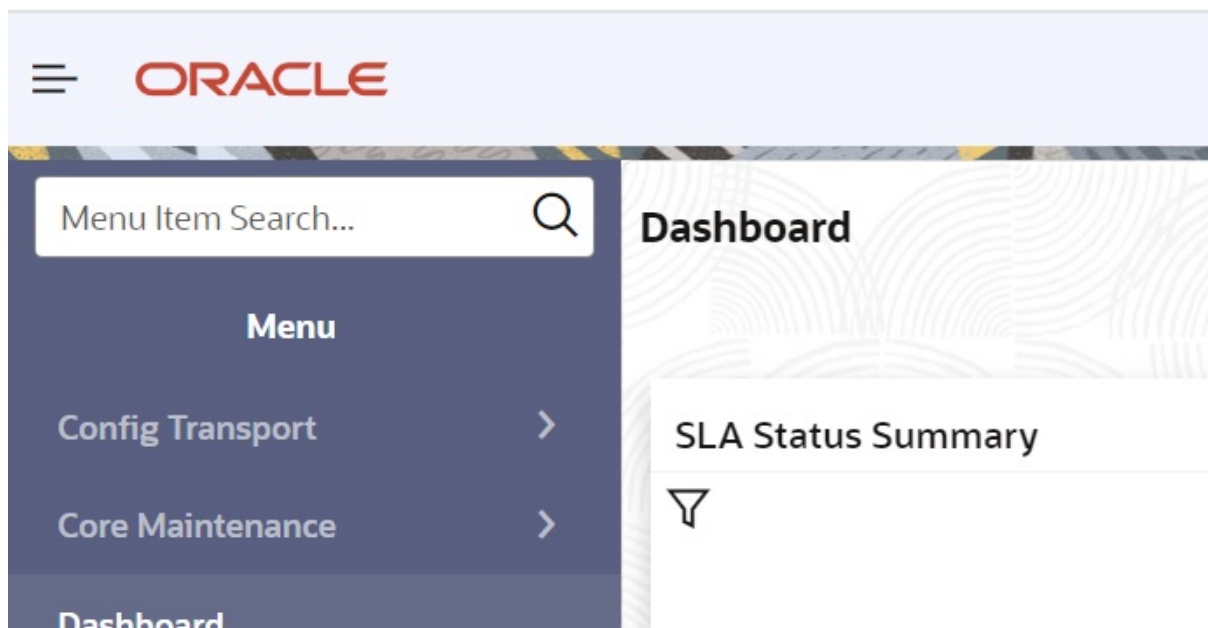
User can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. On submit of the request, the request should be available for an LC expert to handle in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

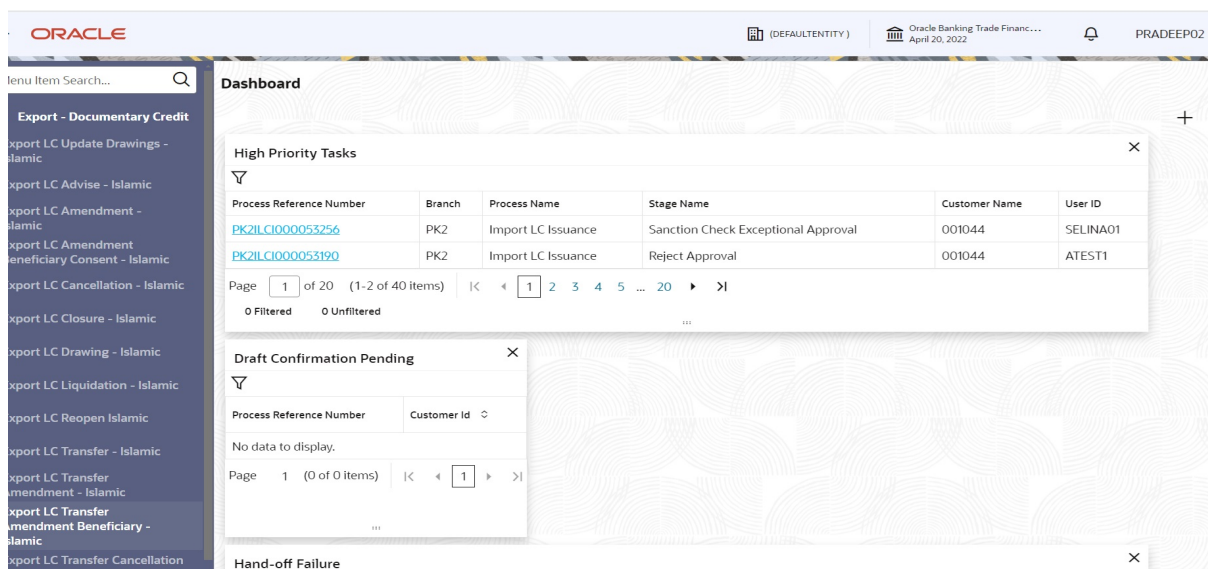




- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance> Export - Documentary Credit> Export LC Transfer Amendment Beneficiary Consent**.



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

### 3.2.1 Application Details

The screenshot shows the Oracle Application Details form for 'Export LC Transfer Amendment Beneficiary'. The form includes fields for Transfer LC Reference Number (TRF5221100017001), Beneficiary (000123), Branch (PK2-Oracle Banking Trade Fina), Process Reference Number (PK2ELCT000053257), Submission Mode (Desk), User Reference Number (TRF5221100017001), and Response Received Date (April 20, 2022). Below these fields is a table for 'Beneficiary Response Capture' with columns for Amendment Number, Amendment Date, Beneficiary Consent Required, Beneficiary Response, Remarks, and Action. The table shows one record with Amendment Number 1, Amendment Date 2022-04-20, Beneficiary Consent Required (unchecked), Beneficiary Response Unconfirmed, and Remarks. The form also has buttons for 'View Transfer LC', 'View Export LC', 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Transfer LC Reference Number	User can search the Transfer LC Reference Number by using the LOV. As part of LOV criteria; user can input the Transfer LC Reference Number, Applicant, Currency, Amount or User Reference Number.	
Beneficiary	Beneficiary details is defaulted from the underlying Transfer LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from the Transfer LC details.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. User can change the priority populated any time before submit.	High

Field	Description	Sample Values
Submission Mode	<p>Select the submission mode of Export LC Transfer Amendment Beneficiary Consent request. By default the submission mode will have the value as 'Desk'.</p> <p><b>Desk</b>- Request received through Desk</p> <p><b>FAX</b> - Request received through FAX</p> <p><b>Email</b> - Request received through Email</p> <p><b>Courier</b>- Request received through Courier</p>	Desk
Response Received Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p style="text-align: center;">Future date selection is not allowed.</p>	04/13/2018

### 3.2.2 Beneficiary Response Capture

This section displays the Beneficiary Response Capture details.

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
	2022-04-20	<input type="checkbox"/>	Unconfirmed		

Page 1 of 1 (1 of 1 items) |< < 1 > >|

Hold Cancel Save & Close Sub

Provide the Beneficiary Response Capture based on the description in the following table:

Field	Description	Sample Values
Amendment Number	<p>Read only field.</p> <p>Amendment number will be auto-populated based on selected Transfer LC Reference Number.</p>	
Amendment Date	<p>Read only field.</p> <p>This field displays the date on which the amendment was made to Transfer LC.</p>	
Beneficiary Consent Required	<p>Read only field.</p> <p>Beneficiary Confirmation Required (Y/N) will be auto populated based on selected Transfer LC Reference Number.</p>	

Field	Description	Sample Values
Beneficiary Response	Select the Beneficiary response from the LOV - Confirmed, Unconfirmed or Rejected. Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.	
Remarks	Specify the remarks for the Export LC Transfer Amendment Beneficiary Consent.	
Action	Click the Edit icon to edit the Beneficiary Response.	

### 3.2.3 Miscellaneous

**Export LC Transfer Amendment Beneficiary**

**Application Details**

Transfer LC Reference Number: TRF5221100017001

Beneficiary: 000123 NATIONAL

Branch: PK2-Oracle Banking Trade Fina

Process Reference Number: PK2ELCT000053257

Submission Mode: Desk

User Reference Number: TRF5221100017001

Response Received Date: April 20, 2022

**Beneficiary Response Capture**

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
	2022-04-20	<input type="checkbox"/>	Unconfirmed		

1 of 1 (1 of 1 items)

Hold Cancel Save & Close Sub

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. If mandatory documents are not uploaded, system should display an error on submit.	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Transfer LC	User can view the Transfer LC details.	
View Export LC	Click to view the latest export LC details.	
Events	Click to view the transfer LC events.	
<b>Action Buttons</b>		
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the Export LC Amendment - Beneficiary Consent Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <p>The checklist items under Registration Stage are:</p> <ul style="list-style-type: none"> <li>● Verified Beneficiary Instructions</li> <li>● All Documents uploaded</li> </ul>	

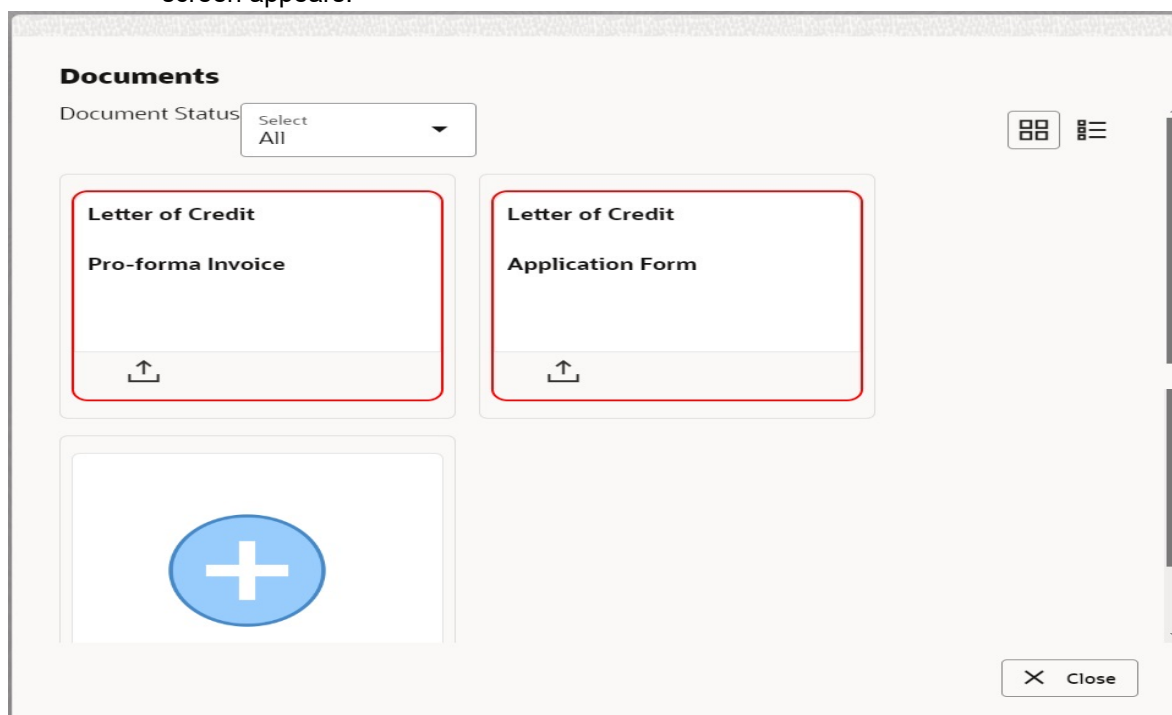
### 3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

### Link Document

Customer Id

Document Id

Document Type

Letter of Credit

Document Code

Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items)

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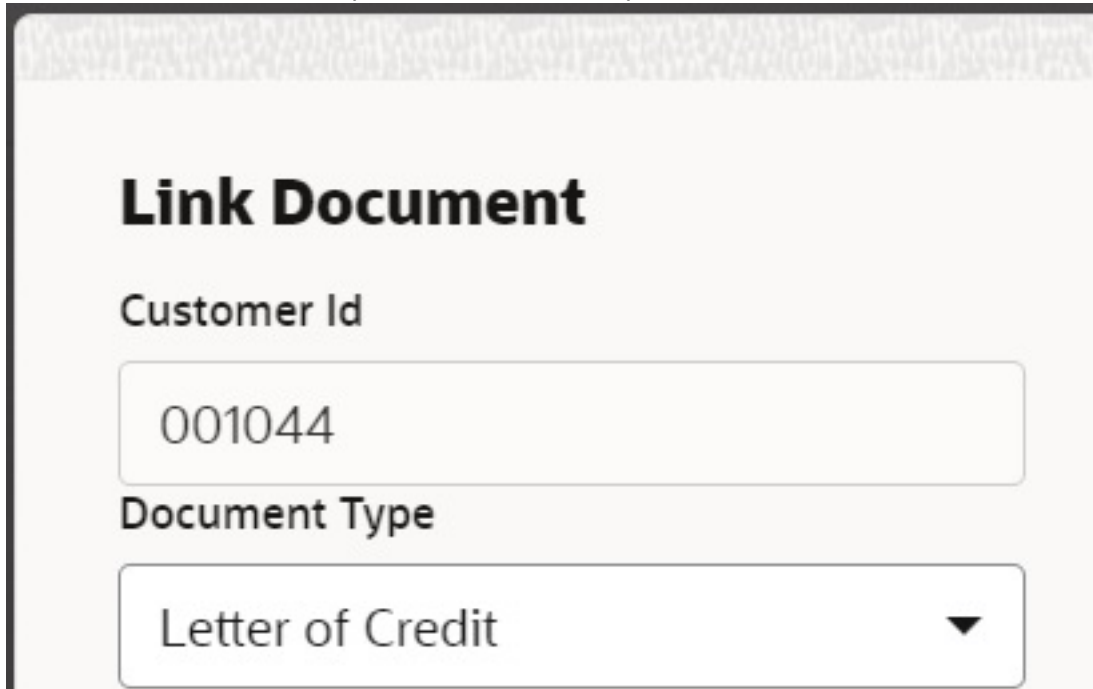
Close

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	



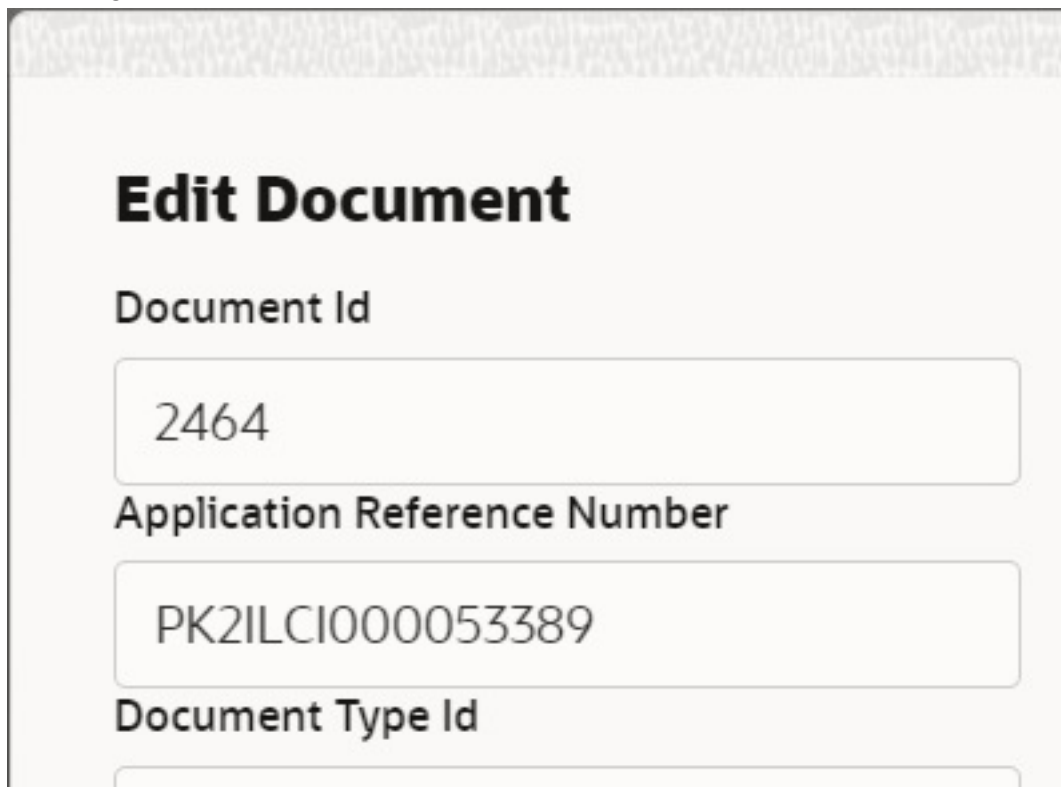
6. Click **Link** to link the particular document required for the current transaction.



The screenshot shows a web form titled "Link Document". It has two input fields. The first is labeled "Customer Id" and contains the text "001044". The second is labeled "Document Type" and is a dropdown menu currently showing "Letter of Credit" with a downward arrow icon on the right.

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents



The screenshot shows a web form titled "Edit Document". It has three input fields. The first is labeled "Document Id" and contains the text "2464". The second is labeled "Application Reference Number" and contains the text "PK2ILCI000053389". The third is labeled "Document Type Id" and is currently empty.

### 3.3 Data Enrichment

DE User can process new request for Export LC Transfer Amendment Beneficiary Consent.

As a part of Data Enrichment stage, User can enter/update basic details of the incoming request and verify if the request can be progressed further.

Request that are received via online channels like trade portal, external system and SWIFT are available directly for further processing in OBTFPM from registration and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

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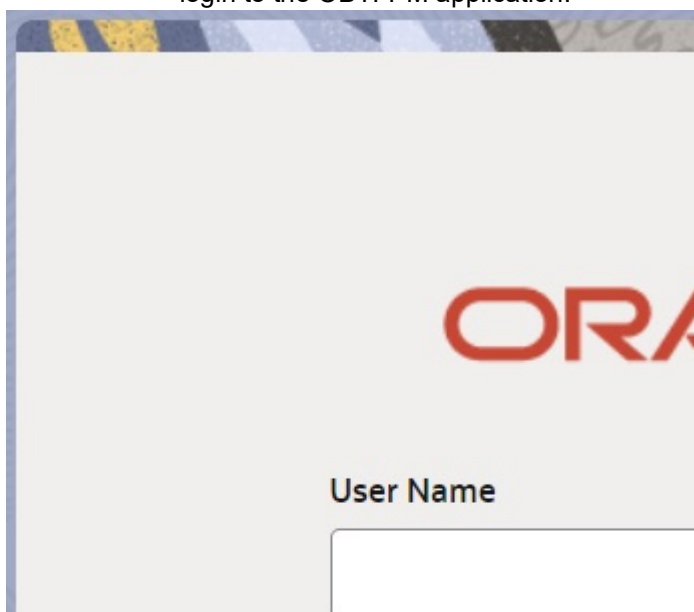
### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

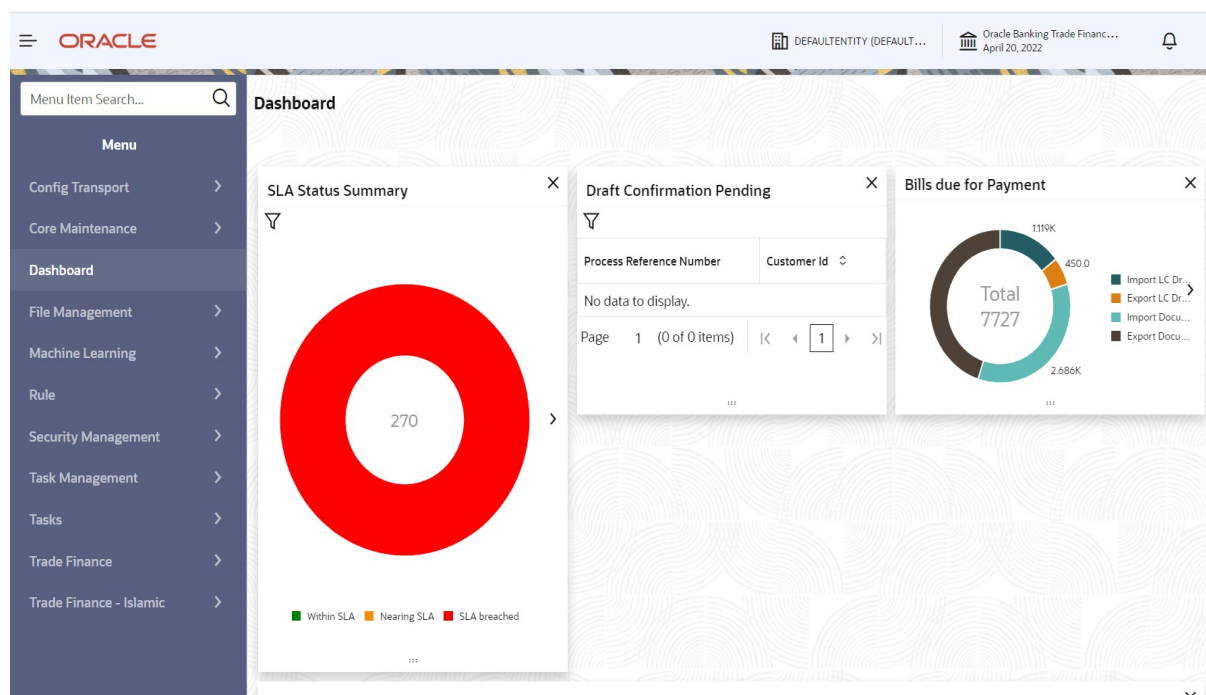
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Do the following steps to acquire a task at Data Enrichment stage:

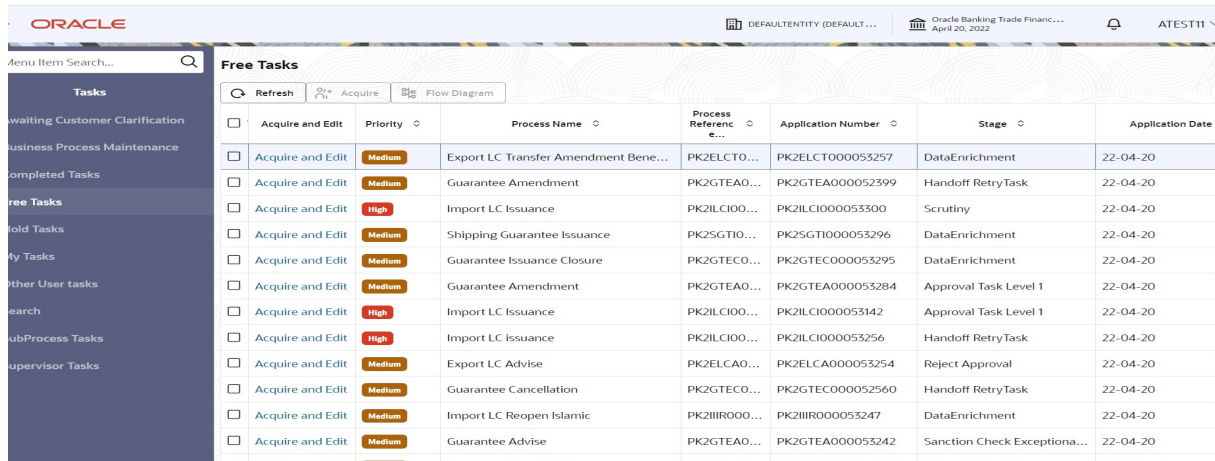
1. Using the entitled login credentials for Beneficiary Consent Response Capture stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



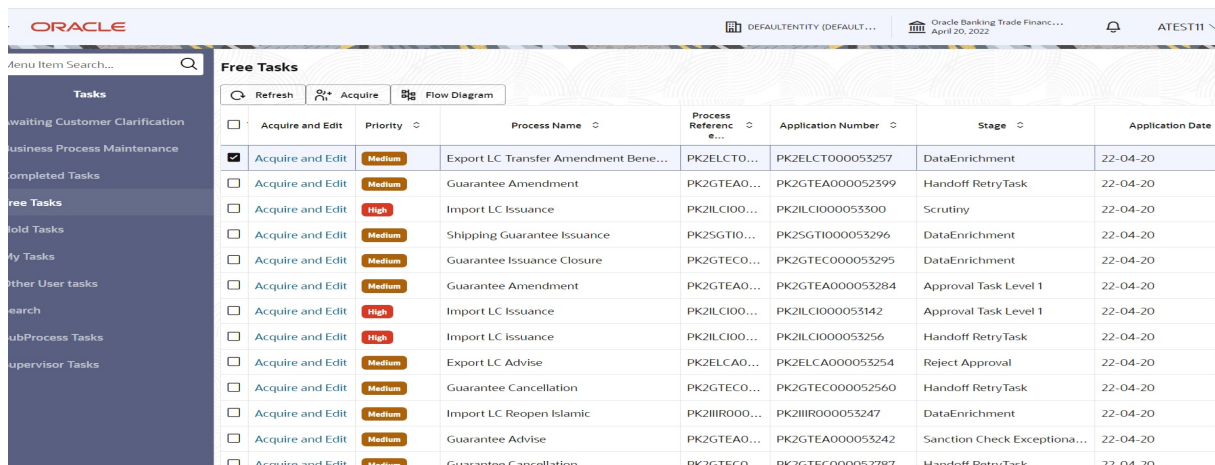
### 3. Click Trade Finance> Tasks> Free Tasks.



The screenshot shows the Oracle Trade Finance interface with the 'Free Tasks' tab selected. The left sidebar contains a 'Tasks' menu with options like 'Waiting Customer Clarification', 'Business Process Maintenance', 'Completed Tasks', 'Free Tasks' (selected), 'Old Tasks', 'My Tasks', 'Other User tasks', 'Search', 'SubProcess Tasks', and 'Supervisor Tasks'. The main area displays a table of tasks with columns: Process Name, Process Reference Number, Application Number, Stage, and Application Date. The first task is 'Export LC Transfer Amendment Bene...' with reference PK2ELCT000053257 and stage DataEnrichment.

Process Name	Process Reference Number	Application Number	Stage	Application Date
Export LC Transfer Amendment Bene...	PK2ELCT000053257	PK2ELCT000053257	DataEnrichment	22-04-20
Guarantee Amendment	PK2GTEA000052399	PK2GTEA000052399	Handoff RetryTask	22-04-20
Import LC Issuance	PK2ILCI000053300	PK2ILCI000053300	Scrutiny	22-04-20
Shipping Guarantee Issuance	PK2SGTI000053296	PK2SGTI000053296	DataEnrichment	22-04-20
Guarantee Issuance Closure	PK2GTEC000053295	PK2GTEC000053295	DataEnrichment	22-04-20
Guarantee Amendment	PK2GTEA000053284	PK2GTEA000053284	Approval Task Level 1	22-04-20
Import LC Issuance	PK2ILCI000053142	PK2ILCI000053142	Approval Task Level 1	22-04-20
Import LC Issuance	PK2ILCI000053256	PK2ILCI000053256	Handoff RetryTask	22-04-20
Export LC Advise	PK2ELCA000053254	PK2ELCA000053254	Reject Approval	22-04-20
Guarantee Cancellation	PK2GTEC000052560	PK2GTEC000052560	Handoff RetryTask	22-04-20
Import LC Reopen Islamic	PK2IIIR000053247	PK2IIIR000053247	DataEnrichment	22-04-20
Guarantee Advise	PK2GTEA000053242	PK2GTEA000053242	Sanction Check Exceptiona...	22-04-20

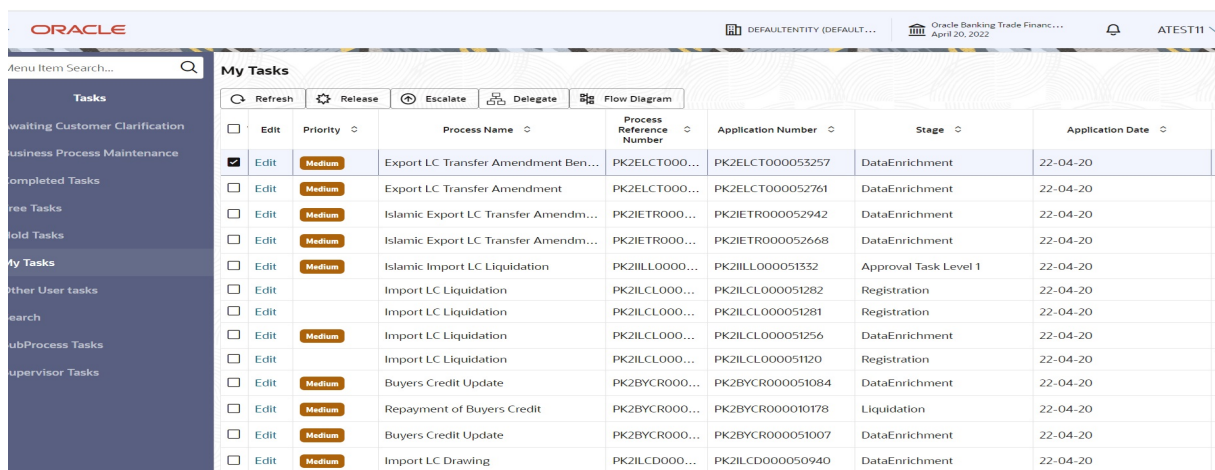
### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



The screenshot shows the same 'Free Tasks' table as before, but the first task 'Export LC Transfer Amendment Bene...' is now selected, indicated by a checkmark in the 'Acquire and Edit' column.

Process Name	Process Reference Number	Application Number	Stage	Application Date
Export LC Transfer Amendment Bene...	PK2ELCT000053257	PK2ELCT000053257	DataEnrichment	22-04-20
Guarantee Amendment	PK2GTEA000052399	PK2GTEA000052399	Handoff RetryTask	22-04-20
Import LC Issuance	PK2ILCI000053300	PK2ILCI000053300	Scrutiny	22-04-20
Shipping Guarantee Issuance	PK2SGTI000053296	PK2SGTI000053296	DataEnrichment	22-04-20
Guarantee Issuance Closure	PK2GTEC000053295	PK2GTEC000053295	DataEnrichment	22-04-20
Guarantee Amendment	PK2GTEA000053284	PK2GTEA000053284	Approval Task Level 1	22-04-20
Import LC Issuance	PK2ILCI000053142	PK2ILCI000053142	Approval Task Level 1	22-04-20
Import LC Issuance	PK2ILCI000053256	PK2ILCI000053256	Handoff RetryTask	22-04-20
Export LC Advise	PK2ELCA000053254	PK2ELCA000053254	Reject Approval	22-04-20
Guarantee Cancellation	PK2GTEC000052560	PK2GTEC000052560	Handoff RetryTask	22-04-20
Import LC Reopen Islamic	PK2IIIR000053247	PK2IIIR000053247	DataEnrichment	22-04-20
Guarantee Advise	PK2GTEA000053242	PK2GTEA000053242	Sanction Check Exceptiona...	22-04-20

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.



The screenshot shows the 'My Tasks' tab selected in the Oracle Trade Finance interface. The left sidebar is the same as in previous screenshots. The main area displays a table of tasks with columns: Process Name, Process Reference Number, Application Number, Stage, and Application Date. The first task 'Export LC Transfer Amendment Ben...' is selected, indicated by a checkmark in the 'Edit' column.

Process Name	Process Reference Number	Application Number	Stage	Application Date
Export LC Transfer Amendment Ben...	PK2ELCT000053257	PK2ELCT000053257	DataEnrichment	22-04-20
Export LC Transfer Amendment	PK2ELCT000052761	PK2ELCT000052761	DataEnrichment	22-04-20
Islamic Export LC Transfer Amendm...	PK2IETR000052942	PK2IETR000052942	DataEnrichment	22-04-20
Islamic Export LC Transfer Amendm...	PK2IETR000052668	PK2IETR000052668	DataEnrichment	22-04-20
Islamic Import LC Liquidation	PK2ILL000051532	PK2ILL000051532	Approval Task Level 1	22-04-20
Import LC Liquidation	PK2ILCL000051282	PK2ILCL000051282	Registration	22-04-20
Import LC Liquidation	PK2ILCL000051281	PK2ILCL000051281	Registration	22-04-20
Import LC Liquidation	PK2ILCL000051256	PK2ILCL000051256	DataEnrichment	22-04-20
Import LC Liquidation	PK2ILCL000051120	PK2ILCL000051120	Registration	22-04-20
Buyers Credit Update	PK2BYCR000051084	PK2BYCR000051084	DataEnrichment	22-04-20
Repayment of Buyers Credit	PK2BYCR000051078	PK2BYCR000051078	Liquidation	22-04-20
Buyers Credit Update	PK2BYCR000051007	PK2BYCR000051007	DataEnrichment	22-04-20
Import LC Drawing	PK2ILCD000050940	PK2ILCD000050940	DataEnrichment	22-04-20

The Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

### 3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- Beneficiary Response Capture

#### 3.3.1.1 Application Details

Field	Description	Sample Values
Transfer LC Reference Number	Read only field. System should display the Reference Number to be amended.	
Beneficiary	Read only field. Displayed as available from earlier stages	EMR & CO
Branch	Read only field. Branch details will be auto-populated from the transfer LC details.	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC LC transfer amendment beneficiary consent request. By default the submission mode will have the value as 'Desk'.  <b>Desk</b> - Request received through Desk <b>FAX</b> - Request received through FAX <b>Email</b> - Request received through Email <b>Courier</b> - Request received through Courier	Desk
Response Received Date	Read only field. By default, the application will display branch's current date.	04/13/2018

### 3.3.1.2 Beneficiary Response Capture

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected Transfer LC Reference Number.	
Amendment Date	Read only field. This field displays the date on which the amendment was made to Transfer LC.	
Beneficiary Consent Required	Read only field. Beneficiary Confirmation Required (Y/N) will be auto populated based on selected Transfer LC Reference Number.	

Field	Description	Sample Values
Beneficiary Response	Select the Beneficiary response from the LOV - Confirmed, Unconfirmed or Rejected. Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.	
Remarks	Capture the remarks of the beneficiary response.	
Edit Icon	Click the Edit icon to edit the Beneficiary Response.	

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.  The user can view and input/view application details simultaneously.  When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	



Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
View Transfer LC	User can view the Transfer LC details.	
View Export LC	Click to view the latest export LC details.	
Events	Click to view the transfer LC events.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### 3.3.2 Additional Fields

In this section, the user can view/enter the details in the additional fields implemented by the bank for Export LC Transfer Amendment Beneficiary Consent.

Any user defined fields maintained at the bank level should be available in this Additional field details.

Export LC Transfer Amendment Beneficiary Consent Data Enrichment ::

Application No:- PK2ELCT000053257

Clarification Details Documents Remarks Overrides

Customer Instruction

Main Additional Fields Additional Fields Advices Additional Details Settlement Details Summary

Additional Fields

Additional Fields

No Additional fields configured!

Request Clarification Reject Refer Hold Cancel Save & Close Back Next



### 3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.3 Advices

Data Enrichment user can view the advices generated during Export LC Transfer Amendment Beneficiary Consent request. Some of the possible advices could be Payment message (Debit Advice) and Second Beneficiary Consent Advice.

Export LC Transfer Amendment Beneficiary Consent DataEnrichment :: Application No:- K2ELCT000010274

Clarification Details Documents Remarks Overrides Customer Instruction

Main Additional Fields Advices Additional Details Settlement Details Summary

**Advices**

Advice : CHNG\_REB  
Advice Name : CHNG\_REB  
Advice Party : ABK  
Party Name : XYZ bank  
Suppress Advice : NO

Advice : LC\_ACK\_AMND  
Advice Name : LC\_ACK\_AMND  
Advice Party : ABK  
Party Name : XYZ bank  
Suppress Advice : YES

Advice : LC\_AMND\_INSTR  
Advice Name : LC\_AMND\_INSTR  
Advice Party : ABK  
Party Name : XYZ bank  
Suppress Advice : YES

Advice : LC\_CASH\_COL\_ADV  
Advice Name : LC\_CASH\_COL\_ADV  
Advice Party : ABK  
Party Name : XYZ bank  
Suppress Advice : YES

Advice : AMD\_EXP\_CR  
Advice Name : AMD\_EXP\_CR  
Advice Party : BEN  
Party Name : ABC corp  
Suppress Advice : NO

Advice : ADVICE\_CL  
Advice Name : ADVICE\_CL  
Advice Party :  
Party Name :  
Suppress Advice : YES

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

**Advice Details**

▼ Advice Details

Suppress Advice ☐

Advice Name : LC\_AMND\_INSTR Medium : Advice Party : ABK

Party ID : 001204 Party Name : ABC BANK

▼ FFT Code





FFT Code	FFT Description	Action
FREEVP	TESTING FFT	

▼ Instructions

Instruction Code	Instruction Description	Edit	Action
E023	IN CASE, REIMBURSING BANK IN NEW YORK, FAILS TO F		

OK Cancel

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	

Field	Description	Sample Values
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click delete icon to remove any existing instruction code.	

### 3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.4 **Additional Details**

Data Enrichment user can verify and enter the basic additional details available for the Export LC Transfer Amendment Beneficiary Consent request.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

### 3.3.4.1 Commission, Charges and Taxes

On landing to the Additional Details section, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission Details are auto-populated from back-end system.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	

Field	Description	Sample Values
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/commission.  Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	



Field	Description	Sample Values
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amendable	The value is auto-populated as the commission can be amended or not.	

### 3.3.4.2 Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

### 3.3.4.3 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are auto-populated from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

### 3.3.4.4 Action Buttons

Use action buttons based on the description in the following table:

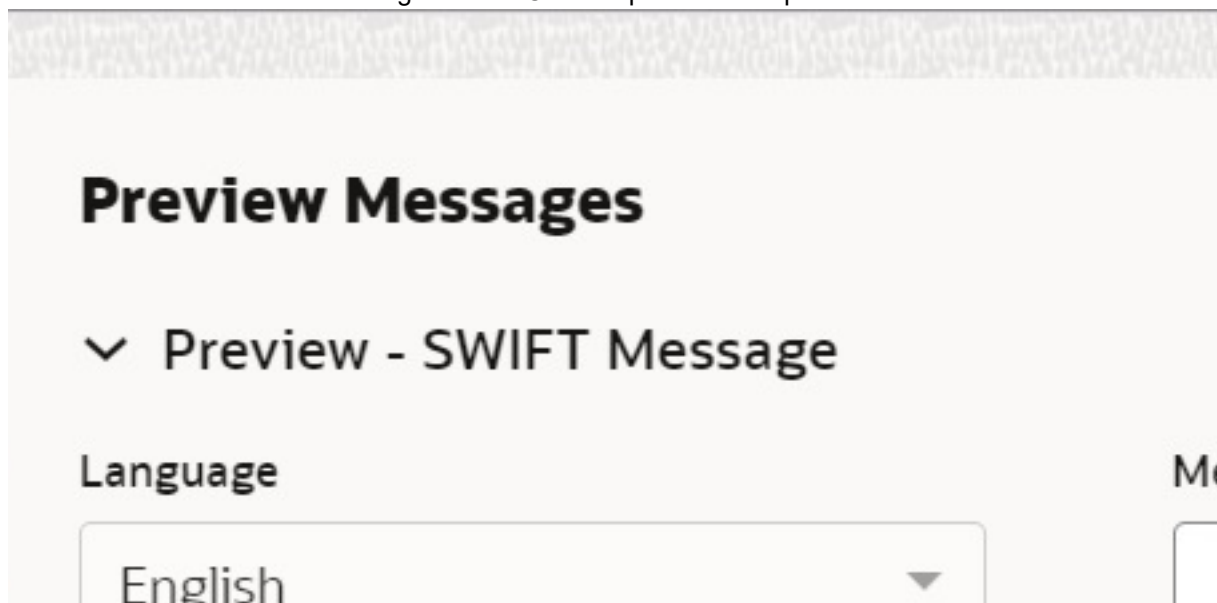
Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

#### 3.3.4.5 Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.



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**Note**

A bank user can share the Draft SWIFT message to the customer through email, before the actual transmission of SWIFT message to the Advising Bank..

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The Preview section consists of following.

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down.	
Message Status	Read only field. This field displays the message status of draft message of transfer details.	
Repair Reason	Read only field. This field displays the message repair reason of draft message of transfer details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. This field displays the message status of draft message of transfer details.	
Repair Reason	Read only field. This field displays the message repair reason of draft message of transfer details.	
Preview Message	Display a preview of the advice.	

### 3.3.5 Settlement Details

The user can view/input the settlement details for Export LC Transfer Amendment Beneficiary Consent request. The following are the list of fields to be displayed.

**Export LC Transfer Amendment Beneficiary Consent DataEnrichment ::**  
Application No:- PK2ELCT000010274

Clarification Details Documents Remarks Overrides Customer Instruction

Screen(5/6)

**Settlement Details**

☐ Current Event

**Settlement Details**

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Nesting Indicator	Current Event
COLLAMT_OSEQ	GBP	Debit	261100005	GL Code	GBP	No	No
COLL_AMNDAMTEQ	GBP	Debit	261100005	GL Code	GBP	No	Yes
COLL_AMT	GBP	Debit	261100005	GL Code	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	261100005	GL Code	GBP	No	No
LCCOURAMND_LIQD	GBP	Debit	261100005	GL Code	GBP	No	Yes
LCCOURAMNV_LIQD	GBP	Debit	261100005	GL Code	GBP	No	No
LCTAXI_AMT	GBP	Debit	261100005	GL Code	GBP	No	No
LCTAX_AMT	GBP	Debit	261100005	GL Code	GBP	No	No

**COLLAMT\_OSEQ - Party Details**

Transfer Type: Bank Transfer

Charge Details: Remitter All Charges

Netting Indicator:

Ordering Customer: Name/Account

Ordering Institution: Name/Account

Senders Correspondent: Name/Account

Receivers Correspondent: Name/Account

Intermediary Institution: Name/Account

Account With Institution: Name/Account

Beneficiary Institution: Name/Account

Ultimate Beneficiary: Name/Account

Intermediary Reimbursement Institution: Name/Account

Receiver: 001204

**Payment Details**

Sender To Receiver 1: Only /BX/XXX format is allowed

Sender To Receiver 2: /BX/XXX or //XXX format is allowed

Sender To Receiver 3: /BX/XXX or //XXX format is allowed

Sender To Receiver 4: /BX/XXX or //XXX format is allowed

Sender To Receiver 5: /BX/XXX or //XXX format is allowed

Sender To Receiver 6: /BX/XXX or //XXX format is allowed

**Remittance Information**

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Request Clarification Reject Refer Hold Cancel Save & Close Back Ne

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	
Currency	System displays the currency for the component.	
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	

Field	Description	Sample Values
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

### 3.3.5.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	



### 3.3.5.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

### 3.3.5.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

### 3.3.5.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Cancel	Cancel the Data Enrichment inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move the task to the previous segment	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.6 Summary

User can review the summary of details updated in Data Enrichment stage. As part of summary screen, user can see the summary tiles. The tiles should display a list of important fields with values User can drill down from summary Tiles into respective data segments.

The screenshot shows a web application interface for a 'Summary' screen. At the top, the title bar reads 'Export LC Transfer Amendment Beneficiary Consent DataEnrichment :: Application No:- K2ELCT000053257'. Below the title bar, there are tabs for 'Clarification Details', 'Documents', 'Remarks', 'Overrides', and 'Customer Instruction'. The main content area is titled 'Summary' and contains eight tiles arranged in a grid. The tiles are: 'Main' (Form of LC: IRREVOCABLE, Submission Mode: Desk, Date of Issue: 2022-04-20), 'Commission, Charges and Taxes' (Charge, Commission, Tax, Block Status), 'Preview Messages' (Language: ENG, Preview Message), 'Advices' (Advice 1, Advice 2), 'Parties Details' (Issuing Bank, Applicant: GOODCARE PLC, Beneficiary: NATIONAL FRE...), 'Compliance details' (KYC, Sanctions, AML), 'Accounting Details' (Event, Account Number, Branch), and 'Settlement Details' (Component, Account Number, Currency). At the bottom of the screen, there is a row of buttons: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Submit'.

#### Tiles Displayed in Summary

- Main - User can view the application details and Transfer LC details. User can modify the details, if required.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.
- Advices: User should be able to view the advice details.
- Preview Message: User can see the preview details grid.
- Parties Details: User can see the party details like beneficiary, advising bank etc.
- Settlement Details: User can see the Settlement details.
- Compliance Details: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- Accounting Details: User can view the accounting details.

#### 3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	

Field	Description	Sample Values
Submit	On Submit, system should validate for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move the task to the previous segment	

## 3.4 Approval

The user can review the summary of details updated in multilevel approval stage of Export LC Transfer Amendment Beneficiary Consent request and approve the Export LC Transfer Amendment Beneficiary Consent.

The user can see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

## Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

ORACLE

Free Tasks

( DEFAULTTENTY)

( PK2) May 6, 2019

SRIDHA subham@gmail

ort LC Transfer Amendment Beneficiary Consent - Approval1 :: Application No: PK2ELCT000056552

Overrides

<b>Main</b>	<b>Commission, Charges and Taxes</b>	<b>Parties Details</b>	<b>Compliance details</b>	<b>Advices</b>
Term of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2019-03-22</b>	Charge : <b>GBP50</b> Commission : Tax : Block Status : <b>Not Initia</b>	Applicant : <b>FIXNETIX</b> Beneficiary : <b>GOODCARE PLC</b> Confirming Bank : <b>Blackworth</b>	KYC : <b>Not Verified</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	Advice 1 : <b>AMD_EXP_CR</b> Advice 2 : <b>LC_ACK_AMND</b> Advice 3 : <b>LC_CASH_CO</b> Advice 4 : <b>ADV_THIRD</b> Advice 5 : <b>PAYMENT_ME</b>
<b>Preview Messages</b>	<b>Accounting Details</b>	<b>Settlement Details</b>		
Language : <b>ENG</b> Preview Message : -	Event : <b>BADV</b> Account Number : <b>620000006</b> Branch : <b>PK2</b>	Component : <b>LCEXADV_LIQD</b> Account Number : <b>PK20010440</b> Currency :		

edit

Reject

Refer

Hold

Approve

Back

None

### Tiles Displayed in Summary

- **Main Details** - User can view the application details and Transfer LC details. User can modify the details, if required.
- **Commission, Charges and Taxes**: User can see the details provided for charges. User should be able to update the details if required.
- **Advices**: User should be able to view the advice details.
- **Preview Message**: User can see the preview details grid.
- **Parties Details**: User can see the party details like beneficiary, advising bank etc.
- **Settlement Details**: User can see the Settlement details.
- **Compliance Details**: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- **Accounting Details**: User can view the accounting details.

### 3.4.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	



## A

Additional Details .....	18
Action Buttons .....	21
Additional Fields .....	13
Action Buttons .....	14
Advices .....	15
Action Buttons .....	17
Application Details .....	5
Approval .....	26
Action Buttons .....	27
Main Details .....	26

## B

Beneficiary Consent Response Capture	
Main Details .....	10
Beneficiary Response Capture .....	6
Benefits .....	1

## C

Commission, Charges and Taxes .....	18
Common Initiation Stage .....	2
Action Buttons .....	3

## D

Data Enrichment .....	8
-----------------------	---

## E

Export LC Amendment - Beneficiary Consent .....	2
Approval .....	26
Registration .....	2

## G

Guarantee Preferences	
Demand Indicator .....	16

## K

Key Features .....	1
--------------------	---

## M

Main Details .....	10
Action Buttons .....	13
Application Details .....	11
Beneficiary Response Capture .....	11, 12
Miscellaneous .....	7

## O

Overview .....	1
----------------	---

## R

Registration .....	2, 3
Action Buttons .....	7
Application Details .....	5
LC Details .....	6
Miscellaneous .....	7

## S

Settlement Details	
Action Buttons .....	23
Summary .....	24
Action Buttons .....	25